

REDACTED - FOR PUBLIC INSPECTION

June 26, 2015

Ms. Marlene H. Dortch, Secretary
Federal Communications Commission
445 12th Street, S.W.
Washington, D.C. 20554

Re: Connect America Fund, WC Docket No. 14-58, 47 CFR § 54.313 Annual Reporting Requirements for High-Cost Recipients (Form 481)

Dear Ms. Dortch:

Attached please find San Carlos Apache Telecommunications Utility, Inc.'s (Company) (SAC 452169) high-cost support recipient annual report pursuant to 47 CFR § 54.313 (Form 481).

The Company is filing certain financial information, reported pursuant to 47 CFR §54.313(f)(2), as confidential under the June 17, 2015 Protective Order (DA 15-712). Pursuant to that Order, each page of this filing has been marked "REDACTED - FOR PUBLIC INSPECTION." The non-redacted version of this information has been marked "CONFIDENTIAL INFORMATION - SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, 14-58, GN DOCKET NO. 09-51, CC DOCKET NOS. 01-92, 96-45, WT DOCKET NO. 10-208 BEFORE THE FEDERAL COMMUNICATIONS COMMISSION." As such, the Company requests that the non-redacted version of its submission be withheld from public inspection.

The Company is also requesting confidential treatment of certain information being filed pursuant to 47 CFR 54.313(a)(1) (five year service quality improvement plan progress report) under 47 CFR § 0.457 and 0.459. The redacted version of this filing has been marked "REDACTED - FOR PUBLIC INSPECTION." The non-redacted version has been marked "CONFIDENTIAL - NOT FOR PUBLIC INSPECTION."

Pursuant to 47 CFR § 0.459, the Company offers the following in support of its request for confidential treatment of certain information.

- *Identification of the specific information for which confidential treatment is sought:* The Company seeks confidential treatment of the five year service quality improvement plan progress report required per 47 CFR § 54.313(a)(1),
- *Identification of the Commission proceeding in which the information was submitted or a description of the circumstances giving rise to the submission:* The Company is providing the five year service quality improvement plan progress report as part of its annual high-cost support recipient report per 47 CFR § 54.313.
- *Explanation of the degree to which the information is commercial or financial, or contains a trade secret or is privileged:* The Company considers the information to be highly sensitive in that it contains statements about the Company's future investment plans, and discusses specific equipment and strategies the Company will utilize to provide services.



- *Explanation of the degree to which the information concerns a service that is subject to competition:* The Company provides voice and broadband services that are in competition with various landline and wireless providers; thus, the investment data disclosed is related to services subject to competition to a high degree.
- *Identification of any measures taken by the submitting party to prevent unauthorized disclosure:* The Company makes the data being provided available only to employees, consultants, and attorneys on a limited, need-to-know basis.
- *Identification of whether the information is available to the public and the extent of any previous disclosure of the information to third parties:* The information is not publicly available.
- *Justification of the period during which the submitting party asserts that material should not be available for public disclosure:* The Company requests that the data provided be treated as confidential indefinitely. Due to the sensitive nature of the data, it would not be appropriate for public disclosure at any time in the foreseeable future.
- *Any other information that the party seeking confidential treatment believes may be useful in assessing whether its request for confidential treatment should be granted:* None.

Accordingly, The Company requests confidential treatment of the five year service quality improvement plan progress report pursuant to section 0.457 and 0.459 of the Commission's rules.

The redacted version of this Form 481 submission will be filed via the Commission's Electronic Comment Filing System (ECFS) in the above-captioned docket.

If you have any questions about this filing, please contact the undersigned.

Sincerely,

Rob D. Strait
Principle
719-531-6342

Attachment

cc: Charles Tyler
Telecommunications Access Policy Division
Wireline Competition Bureau
Federal Communications Commission
445 12th Street, S.W., Room 5-A452
Washington, DC 20554

**FCC Form 481 - Carrier Annual Reporting
Data Collection Form**

 FCC Form 481
 OMB Control No. 3060-0986/OMB Control No. 3060-0819
 July 2013

<010> Study Area Code	452169
<015> Study Area Name	SAN CARLOS APACHE
<020> Program Year	2016
<030> Contact Name: Person USAC should contact with questions about this data	Shirley Ortiz
<035> Contact Telephone Number: Number of the person identified in data line <030>	9284752433 ext.
<039> Contact Email Address: Email of the person identified in data line <030>	shirley.ortiz@scatui.com

ANNUAL REPORTING FOR ALL CARRIERS		54.313 Completion Required	54.422 Completion Required
(check box when complete)			
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> <-- check box if no outages to report		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<300> Unfulfilled Service Requests (voice)	10 452169az310.pdf	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<320> Unfulfilled Service Requests (broadband)	7 452169az330.pdf	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)			
<410> Fixed	0.0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<420> Mobile	0.0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<430> Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<440> Fixed	0.0	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<450> Mobile	0.0	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 452169az510.pdf	(attached descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 452169az610.pdf	(attached descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)?	<input checked="" type="radio"/> <input type="radio"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1000> Voice Services Rate Comparability Certification	Yes	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1010> 452169az1010.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1100> Certify whether terrestrial backhaul options exist (Yes or No)	<input checked="" type="radio"/> <input type="radio"/> (if not, check to indicate certification)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1110>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	(check to indicate certification)	<input type="checkbox"/>	<input type="checkbox"/>
<2005>	(complete attached worksheet)	<input type="checkbox"/>	<input type="checkbox"/>

Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet

<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

(100) Service Quality Improvement Reporting Data Collection Form		FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013	
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<010>	Study Area Code	452169	
<015>	Study Area Name	SAN CARLOS APACHE	
<020>	Program Year	2016	
<030>	Contact Name - Person USAC should contact regarding this data		
		Shirley Ortiz	
<035>	Contact Telephone Number - Number of person identified in data line <030>		
		9284752433 ext.	
<039>	Contact Email Address - Email Address of person identified in data line <030>		
		shirley.ortiz@scatui.com	
<110>	Has your company received its ETC certification from the FCC?	<input checked="" type="radio"/> (yes) <input type="radio"/> (no)	
<111>	If your answer to Line <110> is yes, do you have an existing "5 year plan" filed with the FCC?	<input checked="" type="radio"/> (yes) <input type="radio"/> (no)	

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

452169az112.pdf

Name of Attached Document

Please select the appropriate responses below (Yes, No, Not Applicable) to confirm that the attached document(s), on line 112, contains a progress report on its five-year service quality improvement plan pursuant to §54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

<113>	Maps detailing progress towards meeting plan targets	<input type="text"/>	Yes
<114>	Report how much universal service (USF) support was received	<input type="text"/>	Yes
<115>	How much (USF) was used to improve service quality and how support was used to improve service quality	<input type="text"/>	Yes
<116>	How much (USF) was used to improve service coverage and how support was used to improve service coverage	<input type="text"/>	Yes
<117>	How much (USF) was used to improve service capacity and how support was used to improve service capacity	<input type="text"/>	Yes
<118>	Provide an explanation of network improvement targets not met in the prior calendar year.	<input type="text"/>	Yes

(800) Operating Companies
Data Collection Form

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com
<810>	Reporting Carrier	San Carlos Apache Telecommunications Utility, Inc.
<811>	Holding Company	San Carlos Apache Telecommunications Utility, Inc.
<812>	Operating Company	San Carlos Apache Telecommunications Utility, Inc.

[illegible]

(900) Tribal Lands Reporting
Data Collection Form

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code

452169

<015> Study Area Name

SAN CARLOS APACHE

<020> Program Year

2016

<030> Contact Name - Person USAC should contact regarding this data

Shirley Ortiz

<035> Contact Telephone Number - Number of person identified in data line <030>

9284752433 ext.

<039> Contact Email Address - Email Address of person identified in data line <030>

shirley.ortiz@scatui.com

<910> Tribal Land(s) on which ETC Serves

San Carlos Apache Reservation

<920> Tribal Government Engagement Obligation

452169az920.pdf

Name of Attached Document

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached document(s), on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

<921>	Needs assessment and deployment planning with a focus on Tribal community anchor institutions.	Select Yes or No or Not Applicable
<922>	Feasibility and sustainability planning;	Yes
<923>	Marketing services in a culturally sensitive manner;	Yes
<924>	Compliance with Rights of way processes	Yes
<925>	Compliance with Land Use permitting requirements	Yes
<926>	Compliance with Facilities Siting rules	Yes
<927>	Compliance with Environmental Review processes	Yes
<928>	Compliance with Cultural Preservation review processes	Yes
<929>	Compliance with Tribal Business and Licensing requirements.	Yes

(1100) No Terrestrial Backhaul Reporting		FCC Form 481	
Data Collection Form		OMB Control No. 3060-0986/OMB Control No. 3060-0819	
		July 2013	

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scalui.com

<1120> Please confirm whether terrestrial backhaul options exist within the supported area pursuant to § 54.313(g) (Yes, No).

<1130> Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

(1200) Terms and Condition for Lifeline Customers		FCC Form 481
Lifeline		OMB Control No. 3060-0986/OMB Control No. 3060-0819
Data Collection Form		July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

452169az1210.pdf

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

<1220>	Link to Public Website	HTTP	Name of Attached Document
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"Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

<1221>	Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,	<input checked="" type="checkbox"/>
<1222>	Details on the number of minutes provided as part of the plan,	<input checked="" type="checkbox"/>
<1223>	Additional charges for toll calls, and rates for each such plan.	<input checked="" type="checkbox"/>

(2000) Price Cap Carrier Additional Documentation		FCC Form 481	
Data Collection Form		OMB Control No. 3060-0986/OMB Control No. 3060-0819	
<i>Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers</i>		July 2013	

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	SHIRLEY ORTIZ
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e). The information reported on this form and in the documents attached below is accurate.

Incremental Connect America Phase I reporting

<2010>	2nd Year Certification {47 CFR § 54.313(b)(1)i}	
<2011a>	3rd Year Certification {47 CFR § 54.313(b)(1)iii}	
<2011b>	Attachment {47 CFR § 54.313(b)(1)ii}	

Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}

<2012>	2013 Frozen Support Calculation {47 CFR § 54.313(c)(1)}	
<2013>	2014 Frozen Support Calculation {47 CFR § 54.313(c)(2)}	
<2014>	2015 Frozen Support Calculation {47 CFR § 54.313(c)(3)}	
<2015>	2016 and future Frozen Support Calculation {47 CFR § 54.313(c)(4)}	

Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}

<2016>	Certification Support Used to Build Broadband	
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Connect America Phase II Reporting {47 CFR § 54.313(e)}

<2017>	3rd year Broadband Service Certification	
<2018>	5th year Broadband Service Certification	
<2019>	Interim Progress Certification	
<2020>	Please check the box to confirm that the attached document(s), on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.	
<2021>	Interim Progress Community Anchor Institutions	

Name of Attached Document(s) Listing Required Information

(3000) Rate Of Return Carrier Additional Documentation

Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

(3010)

Progress Report on 5 Year Plan

Milestone Certification (47 CFR § 54.313(f)(1)(i))

452169az3010.pdf

Name of Attached Document Listing Required Information

(3011)

Please check this box to confirm that the attached document(s), on line 3012 contains the required information pursuant to § 54.313 (f)(1)(ii), the carrier shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.

☒

(3012)

Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

452169az3012.pdf

Name of Attached Document Listing Required Information

(3013)

Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))

☒

(3014)

If yes, does your company file the RUS annual report

☒

Please check these boxes to confirm that the attached document(s), on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

(3015)

Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)

☒

(3016)

Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

☒

(3017)

If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

452169az3017.pdf

Name of Attached Document Listing Required Information

(3018)

If the response is no on line 3014, Is your company audited?

☒

If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains

(3019)

Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications

☐

(3020)

Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

☐

(3021)

Management letter and audit opinion issued by the independent certified public accountant that performed the company's financial audit

☐

If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:

(3022)

Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,

☐

(3023)

Underlying information subjected to a review by an independent certified public accountant

☐

(3024)

Underlying information subjected to an officer certification.

☐

(3025)

Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

☐

☐

☐

(3026)

Attach the worksheet listing required information

Name of Attached Document Listing Required Information

(3000) Rate Of Return Carrier Additional Documentation (Continued)		FCC Form 481
Data Collection Form		OMB Control No. 3060-0986/OMB Control No. 3060-0819
		July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
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<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

Financial Data Summary	
(3027) Revenue	5793400
(3028) Operating Expenses	5074912
(3029) Net Income	4271137
(3030) Telephone Plant In Service(TPIS)	26286018
(3031) Total Assets	21699397
(3032) Total Debt	15405682
(3033) Total Equity	6293715
(3034) Dividends	0

**Certification - Reporting Carrier
Data Collection Form**

 FCC Form 481
 OMB Control No. 3060-0986/OMB Control No. 3060-0819
 July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	
Signature of Authorized Officer:	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form:
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Certification - Agent / Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	452169
<015> Study Area Name	SAN CARLOS APACHE
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035> Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) <u>Dion Nero</u> is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent:	<u>Dion Nero</u>
Name of Reporting Carrier:	<u>SAN CARLOS APACHE</u>
Signature of Authorized Officer:	<u>CERTIFIED ONLINE</u> Date: <u>06/26/2015</u>
Printed name of Authorized Officer:	<u>Shirley Ortiz</u>
Title or position of Authorized Officer:	<u>CEO/General Manager</u>
Telephone number of Authorized Officer:	<u>9284757058 ext.</u>
Study Area Code of Reporting Carrier:	<u>452169</u> Filing Due Date for this form: <u>07/01/2015</u>
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier:	<u>SAN CARLOS APACHE</u>
Name of Authorized Agent or Employee of Agent:	<u>Dion Nero</u>
Signature of Authorized Agent or Employee of Agent:	<u>CERTIFIED ONLINE</u> Date: <u>06/26/2015</u>
Printed name of Authorized Agent or Employee of Agent:	<u>Dion Nero</u>
Title or position of Authorized Agent or Employee of Agent:	<u>Senior Client Manager</u>
Telephone number of Authorized Agent or Employee of Agent:	<u>9183769901 ext.</u>
Study Area Code of Reporting Carrier:	<u>452169</u> Filing Due Date for this form: <u>07/01/2015</u>
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Attachments

REDACTED IN ITS ENTIRETY

FOR PUBLIC INSPECTION

REDACTED IN ITS ENTIRETY

FOR PUBLIC INSPECTION

REDACTED IN ITS ENTIRETY

FOR PUBLIC INSPECTION

REDACTED IN ITS ENTIRETY

FOR PUBLIC INSPECTION

REDACTED IN ITS ENTIRETY

FOR PUBLIC INSPECTION

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169)

Unfulfilled Service Requests (Voice)

Form 481, Line 310

In 2014, San Carlos Apache Telecommunications Utility, Inc. (“the Company”) had 10 unfulfilled requests for voice service. All 10 requests are in a new residential subdivision where there is no in-ground infrastructure. The Company will serve this new subdivision through a fiber-to-the-home project funded by an existing American Recovery and Reinvestment Act grant. However, to do this, the Company had to submit a revision to its plans for the grant funding so that funds could be reallocated for this new project. The Company expects to have service to these residences by the end of 2014.

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169)

Unfulfilled Service Requests (Broadband)

Form 481, Line 330

In 2014, San Carlos Apache Telecommunications Utility, Inc. (“the Company”) had seven unfulfilled requests for broadband service. All seven requests are in a new residential subdivision where there is no in-ground infrastructure. The Company will serve this new subdivision through a fiber-to-the-home project funded by an existing American Recovery and Reinvestment Act grant. However, to do this, the Company had to submit a revision to its plans for the grant funding so that funds could be reallocated for this new project. The Company expects to have service to these residences by the end of 2014.

LINE 510 – SERVICE QUALITY STANDARDS AND CONSUMER PROTECTION RULES COMPLIANCE

San Carlos Apache Telecommunications Utility, Inc. (“the Company”) complies with applicable service quality standards and consumer protection rules for its voice and broadband services.

The Company is owned by the San Carlos Apache Tribe. It is therefore reasonable that the Company operates under service quality standards and consumer protection rules that are in the best interest of its customers, who are mostly members of the Tribe.

The rates, terms and conditions under which the Company operates are outlined in its local exchange tariff. The tariff was modeled after the tariffs of state-regulated rural incumbent local exchange carriers, although the Company itself is not regulated by the Arizona Corporation Commission. The Company modifies its tariff from time to time with approval of, or at the request of the Tribal Council. The Company’s tariff contains provisions regarding its customer service and protection practices.

Service quality standards are established by the Company Board of Directors with a Tribal Council liaison sitting on the Board. Monthly reports on service quality compliance are provided to the Board, with periodic updates to the Tribal Council.

With regard to broadband service, the Company provisions its network and equipment to ensure that its customers can enjoy the speeds to which they subscribe. However, Internet speeds generally result from a “best effort” service and are dependent upon a number of variables, many of which are outside the control of the Company.

The Company also complies with the following consumer best practices: (1) the Company discloses its rates and terms of service to customers; (2) the Company provides specific disclosures in its advertising; (3) the Company separately identifies carrier charges from taxes on its billing statements; (4) the Company provides ready access to customer service; (5) the Company promptly responds to consumer inquiries and complaints received from government agencies; and (6) the Company abides by policies for protection of consumer privacy.

Finally, the Company has a policy and established operating procedures that comply with the FCC’s Customer Proprietary Network Information (CPNI) rules (47 C.F.R. §§64.2001-64.2011). Certification of the Company’s compliance with CPNI rules and a description of the Company’s operating procedures that ensure compliance are filed annually with the FCC.

LINE 610 - FUNCTIONALITY IN EMERGENCY SITUATIONS

San Carlos Apache Telecommunications Utility, Inc. (“the Company”) is able to function in emergency situations for both voice and broadband service. The Company has a reasonable amount of back-up power to ensure functionality without an external power source. Standby power generators are supplied at the central office, remote switch sites, and repeater sites to ensure functionality without an external power source until power is restored, so long as fuel is available. The network is capable of managing traffic spikes resulting from emergency situations.

The Company is able to reroute traffic around damaged facilities. Although the Company’s ability to reroute traffic around damaged facilities is not absolute and may be limited in certain circumstances, there is a restoration plan in place for expeditious recovery of service, including splicing of damaged facilities when warranted.

The Company has three Sonet fiber rings that increase the survivability of its network. The rings allow the Company to maintain service to its remote electronic sites in the event of a fiber outage, making the Company’s network efficient, economical, and reliable.



San Carlos Apache Telecommunications Utility, Inc.

LINE 920 – TRIBAL GOVERNMENT ENGAGEMENT OBLIGATION

San Carlos Apache Telecommunications Utility, Inc. (“SCATUI” or “the Company”) is a tribally owned company and as such, engagement with the San Carlos Apache Tribe (“SCAT” or “the Tribe”) and the Tribal Council are essential to the Company’s operation. SCATUI’s General Manager along with the President or other member of its Board of Directors meet with the San Carlos Apache Tribal Council and other SCAT representatives on a quarterly basis. During those meetings, the Company provides progress reports on its various communications and broadband plans, and engages in conversations regarding any modifications needed to meet the needs of the Tribe. Presently, four members of the Tribal Council sit on SCATUI’s Board of Directors, with each member representing one of the four districts of the reservation.

SCATUI’s General Manager and management team also participate in SCAT’s annual Special Council meeting. During the annual meeting, SCATUI presents its year-end accomplishments, financial information, and future goals and projects for the coming year.

Needs Assessment and Deployment Planning with a Focus on Tribal Community Anchor Institutions

Since SCATUI’s inception, discussions between the Company and the Tribe regarding needs assessment and deployment planning have been integral to the growth in its customer base on the reservation from approximately 600 in 1998 to over 2,700 in 2015. Ongoing discussions and planning result in the deployment of new services to existing customers as well as the extension of telecommunications and broadband services to new anchor institutions and housing developments on the reservation. This includes a new hospital and a proposed new school which is planned to open in 2015.

In addition, funding from the American Recovery and Reinvestment Act has made fiber-to-the-home deployment possible in a new housing development and will also fund fiber build out to other housing communities presently served via copper.

Feasibility and Sustainability Planning

At least every five years the Tribe develops a comprehensive economic development strategy and SCATUI is an active participant in the planning process. The next strategic plan was scheduled for publication in 2014 but was delayed until 2015.

Marketing in a Culturally Sensitive Manner

Marketing is done in a culturally sensitive manner. SCATUI participates in community meetings where it presents information on its services to the public, including its Lifeline program offerings. The presentations are provided in the Apache language for those residents who only speak Apache. Other services have been customized to meet the needs of the public such as voice mail verbal instructions offered in the Apache language. The majority of the Company’s employees are members of the SCAT, understand the

community's needs, and are sensitive to the cultural differences of its customers. SCATUI collaborates with the Tribal Language Preservation program by placing "Apache Word of the Week" ads on its local advertising channel. Local businesses also offer auto-attendant call recordings in the Apache language for Apache speaking customers.

Compliance with Rights of Way Processes, Land Use Permitting Requirements, Facilities Sitting Rules, Environmental Review Processes, and Cultural Preservation Review Processes

During the quarterly meetings and ongoing as necessary, SCATUI engages with the Tribal Council and respective Tribal departments regarding right-of-way processes, land use permitting requirements, facilities sitting rules, environmental review processes, and cultural preservation review processes specific to each of the Company's projects.

Compliance with Tribal Business and Licensing Requirements

SCATUI complies with all Tribal business and licensing requirements. The Company is required to obtain a Tribal Privilege License. It must also apply annually for a Tribal Business License as well as a Land Lease agreement.

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169)

Statement Regarding Voice Rate Comparability

47 C.F.R. § 54.313(a)(10)

Form 481, Line 1010

The Wireline Competition Bureau's most recent reasonable comparability benchmark for voice services is \$47.48, which includes the federal subscriber line charge ("SLC").¹

In all of the exchanges served by San Carlos Apache Telecommunications Utility, Inc. ("the Company"), the single-line residential local rate is \$16.00. When the federal SLC (\$6.50) is included, the rate becomes \$22.50. Therefore, the Company's pricing of fixed voice services is less than the reasonable comparability benchmark of \$47.48.

¹ *Wireline Competition Bureau Announces Results of Urban Rate Survey for Voice Services; Seeks Comment on Petition for Extension of Time to Comply With New Rate Floor*, WC Docket No. 10-90, DA 14-384 (rel. Mar. 20, 2014), p. 2.

LINE 1210 – TERMS & CONDITIONS OF VOICE TELEPHONY LIFELINE PLANS

All of the exchanges served by San Carlos Apache Telecommunications Utility, Inc. (“the Company”) are Tribal lands. In all of the Company’s exchanges, residential customers who qualify for the Lifeline Program receive a discount of \$22.50 on local voice telephony service.

In all of the Company’s exchanges, the Lifeline single-line residential rate, including the federal SLC, is \$0.00 (\$22.50 standard rate - \$22.50 discount).

All single-line residential customers, including Lifeline customers, have an unlimited number of minutes for calls made within their local calling area.

Toll charges for calls outside of the local calling area are determined by the long distance carrier of the customer’s choosing. Lifeline customers may elect to subscribe to toll blocking at no charge.

The Company does not disconnect the service of Lifeline subscribers for the non-payment of toll charges. However, the Company reserves the right to implement toll blocking, at no charge, if the customer incurs a significant balance of unpaid toll bills.

The Company offers bundled services to Lifeline customers that are discounted by \$22.50 for the voice component of the bundle.

Lifeline Program reductions do not apply to additional services such as custom calling features. Lifeline customers may subscribe to these services at the same rates offered to other customers.

Additional information regarding the terms and conditions of voice telephony Lifeline plans can be found at <http://scatui.com/telephone/e-lifelinee-linkup/>.

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169)

Progress Report on 5 Year Plan – Milestone Certification

47 C.F.R. § 54.313(f)(1)(i)

Form 481, Line 3010

San Carlos Apache hereby certifies pursuant to 47 CFR 54.313(f)(1)(i) that it is taking all reasonable steps to provide, upon reasonable request, broadband service at actual speeds of at least 4 mbps downstream and 1 mbps upstream, with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to comparable offerings in urban areas, and that requests for such service are met within a reasonable time frame.

San Carlos Apache Telecommunications Utility, Inc. (SAC 452169)

Community Anchor Institutions

47 C.F.R. § 54.313(f)(1)(ii)

Form 481, Line 3012

San Carlos Apache did not begin providing broadband service to any community anchor institutions during 2014. The Company had previously provided broadband service meeting the Commission's public interest obligation standards to all community anchor institutions in its study area and, to the company's knowledge, no new community anchor institutions began operating in the Company's study area during 2014."

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